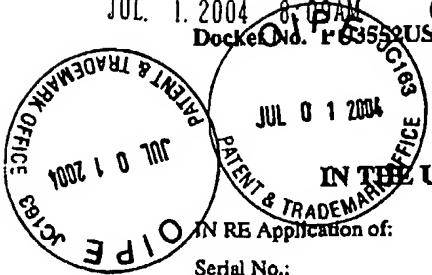


JUL. 1. 2004 8:03 AM
Docket No. 163552US2

NO. 4208 P. 4



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE Application of: Harris et al.
Serial No.: 10/669,400 Art Unit: 1624
Filing Date: 9/23/03 Examiner: S. Patel
For: SUBSTITUTED AZA-OXINDOLE DERIVATIVES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

Copies of the references are enclosed
 Copies of the references were submitted in parent application Serial No. 09/914,393. (37 CFR 1.98(d))
 A copy of the International Search Report which issued on International Application No. _____ is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.

A. The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

OR

The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).

B. The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but before the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311,

whichever occurs first.

Atty. Docket No.

Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$180.00).

C. The Information Disclosure Statement transmitted herewith is being filed after a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.

In accordance with the requirements of 37 CFR 1.97(d):

Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. [or]

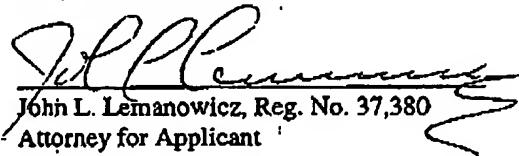
Applicant hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement; and

The petition fee set forth in § 1.17(i)(1) (\$180.00) is submitted herewith.

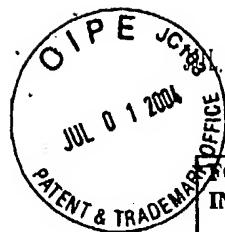
Please charge any required fees to Deposit Account No.07-1392.

A duplicate copy of this paper is attached.

Respectfully Submitted,


John L. Lemanowicz, Reg. No. 37,380
Attorney for Applicant

Date: 30 June 2004
GlaxoSmithKline
Corporate Intellectual Property
5 Moore Drive, P.O. Box 13398
Research Triangle Park, NC 27709-3398
Telephone: (919) 483-8247
Facsimile: (919) 483-7988



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**FORM PTO-1449
INFORMATION DISCLOSURE STATEMENT**

ATTORNEY DOCKET NO. PU3552US2	SERIAL NO. 10/669,400
APPLICANT	
Harris et al.	

FILING DATE 9/23/03	GROUP 1624
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Examiner Initials	Patent Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
X	AA 5,089,516	2/18/92	Shiraishi et al.	—	—	—
	AB 5,057,538	10/15/91	Shiraishi et al.	—	—	—
	AC 5,202,341	4/13/93	Sbiraishi et al.	—	—	—
	AD 5,051,417	9/24/91	Nadler et al.	—	—	—
	AE 5,449,755	9/12/95	Roberts et al.	—	—	—
	AF 5,443,962	8/22/95	Draetta et al.	—	—	—
	AG 5,770,423	6/23/98	Beach et al.	—	—	—
	AH 5,756,335	5/26/98	Beach et al.	—	—	—
	AI 5,672,508	9/30/97	Gwynis et al.	—	—	—
	AJ 5,441,880	8/15/95	Beach et al.	—	—	—

Continue on page 2

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	Document Number	Publication Date	Country	Class	Subclass	Translation Yes 1 No
BB	99/15500	4/1/99	PCT	—	—	—
BB	198 15 020	10/7/99	DE	—	—	—
BC	97/25986	1/16/97	PCT	—	—	—
BD	94/23029	10/13/94	PCT	—	—	—
BE	93/24514	12/9/93	PCT	—	—	—
BF	94/28914	12/22/94	PCT	—	—	—
BG	93/10242	5/27/93	PCT	—	—	—
BH	98/07835	2/26/98	PCT	—	—	—
BI	95/01349	1/12/95	PCT	—	—	—
BJ	0503349 A1	9/16/92	EP	—	—	—

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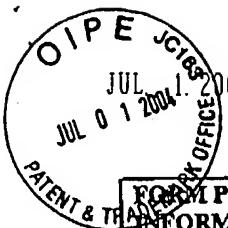
CA	H. J. Kallmayer, "Substituerte Isatin-phenylimine," Arch. Pharm. (Weinheim, Ger.), Vol. 308, 1975, pp. 742-748.
CB	Mohammed Kamel, et al., "Monoazo Metal Complex Forming Dyes Part V Dyes Derived from Isatin," J. Chem. U.A.R. 9, No. 2, 1966, pp. 139-144.
CC	Vishnu J. Ram, et al., "Pesticidal Mannich Bases Derived from Isatinimines," J. Heterocycle Chem., Vol. 23, Sept-Oct 1986, pp. 1367-1369.
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CE	Hoessel et al., "Indirubin, the Active Constituent of a Chinese Antileukaemia Medicine, Inhibits Cyclin-Dependent Kinases," Nat. Cell Biol., 1996, pp. 60-67.
CF	H.J. Kallmayer, "Substituerte Isatin-phenylimine," Arch. Pharm., Vol. 308, 1975, pp. 742-748.

Continue on page 2

EXAMINER	<i>Frederick B. B.</i>	DATE CONSIDERED
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8/1/2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.
PU3552US2

SERIAL NO.
10/669,400

APPLICANT

Harris et al.

FILING DATE

9/23/03

GROUP

1624

U.S. PATENT DOCUMENTS

Examiner Initials		Patent Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
<i>SG</i>	AK	5,124,342	6/23/92	Kerdeksky et al.	—	—	
	AL	5,374,652	12/20/94	Buzzetti et al.	—	—	
	AM	5,488,057	1/30/96	Buzzetti et al.	—	—	
	AN	5,627,207	5/6/97	Buzzetti et al.	—	—	
<i>SG</i>	AO	6,043,254	3/28/00	Grell et al.	—	—	

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Document Number	Publication Date	Country	Class	Subclass	Translation Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
BK 0788890 A1	8/13/97	EP	—	—	
BL 0503349 B1	1/4/95	EP	—	—	
BM 96/32380	10/17/96	PCT	—	—	
BN 0436333 A2	7/10/91	EP	—	—	
BO 93/01182	1/21/93	PCT	—	—	
BP 97/36867	10/9/97	PCT	—	—	
BO 94/40116	12/19/96	PCT	—	—	
BR 98/07695	2/26/98	PCT	—	—	
BS 96/16964	6/6/96	PCT	—	—	
BT 96/00226	1/4/96	PCT	—	—	
BU 96/22976	8/1/96	PCT	—	—	
BV 99/62882	12/9/99	PCT	—	—	
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CG	E.M. Mandelkow et al., FEBS Lett., Vol. 314, 1992, p. 315.
CH	A. Sengupta et al., Mol. Cell. Biochem., Vol. 167, 1997, p. 99
CI	K. Yashpal, J. Neurosci., Vol. 15, 1995, pp. 3263-72.
CJ	Badger, J. Pharm. Exp. Ther., Vol. 279, 1996, p. 1453.
CK	Dvir et al., J. Cell Biol., Vol. 113, 1991, p. 857.
CL	Tanaka et al., Nature, Vol. 383, 1996, p. 528.
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CN	Hajjar and Pomerantz, FASEB J., Vol. 6, 1992, p. 2933.

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EXAMINER *SG* DATE CONSIDERED *8/18/02*

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO. PU3552US2	SERIAL NO. 10/669,400
	APPLICANT Harris et al.	
	FILING DATE 9/23/03	GROUP 1624

U.S. PATENT DOCUMENTS

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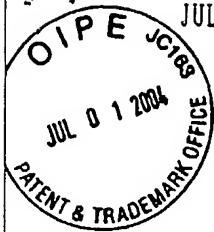
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OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)

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EXAMINER <i>John B. B.</i>	DATE CONSIDERED <i>8/10/01</i>
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